

Important information about this form:

- Fill out this form to make changes to your investment strategy in your DreamAhead account.
- You can make changes to your investment strategy up to twice per calendar year, or when you change the Beneficiary of the account to a qualified “Member of the Family.”
- An investment change to or from an account in another Plan in the network for the same Beneficiary will be considered a change among investment portfolios for the purposes of this restriction.
- All investment strategy changes submitted at the same time would be counted as one strategy change.
- When you transfer money FROM an investment option, there’s a \$5 withdrawal minimum per portfolio. Unless you decide to withdraw all the funds from a portfolio, you can withdraw up to 90% of the portfolio’s balance or leave a balance of at least \$5.
- When you transfer money TO an investment option, there’s a \$5 contribution minimum to each separate portfolio you select.
- It can take up to 10 business days for the investment change to process.
- A Medallion Signature Guarantee is required for an Entity account or an account for which the individual completing the form is acting in a legal capacity as a representative of the Account Owner (**Step 4**).
- Make sure you use black ink to type or print clearly in capital letters.

Need help?

Give us a call Monday – Friday
 from 6am – 5pm PT at
1-844-529-5845 or
1-844-888-2253 (TTY)

Mail the form to:

DreamAhead College
 Investment Plan
 P.O. Box 9661
 Providence, RI 02940-9661

Overnight Mail:

DreamAhead College
 Investment Plan
 4400 Computer Drive
 Westborough, MA 01581

1 DreamAhead account information

 Name of Account Owner (First and last)

____ _ - ____ - ____ _
 Account Owner’s Social Security or Taxpayer Identification Number

____ _
 DreamAhead account number

2 Investment change instructions

These changes apply to the assets currently in your account; it will not change your allocation instructions for future contributions. In the “Reallocate funds FROM” section, please indicate the investment option with the corresponding dollar amount from which you are reallocating assets. In the “Reallocate funds TO” section, please indicate the investment option with the corresponding amount to which you are reallocating assets. If you’d like to complete reallocations from more than 3 portfolios, please submit multiple copies of this page of this form. All reallocations will be processed together and will only count as one investment change.

Please refer to the **Program Details Booklet** for a list of available investment options.

Please clearly print the portfolio name, code and amount you’d like to contribute below. Reference the **Investment Portfolio Options Appendix** at the end of this form for a list of all portfolio names and codes.

Reallocation #1

A Move funds FROM the following option:

Code Portfolio name

Select one:

Partial amount

Liquidate this portfolio in full

\$ _____

B Move funds TO the following option:

Code Portfolio name Percent

Code Portfolio name Percent

Code Portfolio name Percent

Total = 100%

Reallocation #2

A Move funds FROM the following option:

____ _ Code _____ Portfolio name

Select one:

Partial amount

Liquidate this portfolio in full

\$ _____ , _____ . _____

B Move funds TO the following option:

____ _ Code _____ Portfolio name _____ %
Code **Portfolio name** **Percent**

____ _ Code _____ Portfolio name _____ %
Code **Portfolio name** **Percent**

____ _ Code _____ Portfolio name _____ %
Code **Portfolio name** **Percent**

Total = 100%

Reallocation #3

A Move funds FROM the following option:

____ Code _____ Portfolio name

Select one:

Partial amount

Liquidate this portfolio in full

\$ _____ , _____ . _____

B Move funds TO the following option:

____ Code _____ Portfolio name _____ %
Code Portfolio name Percent

____ Code _____ Portfolio name _____ %
Code Portfolio name Percent

____ Code _____ Portfolio name _____ %
Code Portfolio name Percent

Total = 100%

3 Sign the form

By signing this form, I authorize the investment change of funds from my current investment portfolio(s) in **Step 2** to the investment portfolio(s) as indicated. I certify and understand the following:

- An investment change of funds among investment portfolios for my account can only be requested twice per calendar year.
- I authorize the investment change of assets in my account per my instructions in **Step 2**.
- If I am making contributions by payroll deduction or monthly transfer, I understand that those recurring contributions will continue into my previously designated investment portfolio(s) unless updated by me.
- I understand that if I set up an online gifting page, gift contributions made to this account will continue being made to the investment portfolio I designated when setting up the page, unless updated by me.
- I understand that this investment change of funds will become effective upon the Plan's receipt of this form in good order.
- I certify that I am the Account Owner, or can provide a Medallion Signature Guarantee to have the authority to act as the Account Owner.

Signature of Account Owner/Custodian/Authorized Representative
of Entity

Date (mm/dd/yyyy)

4 A Medallion Signature Guarantee

Only complete this step if you are acting on behalf of the Account Owner or you're an Entity Account Owner.

Keep in mind that:

- You're providing the following information as underwritten certification that your signature is genuine.
- You can get a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public doesn't qualify, and you cannot guarantee your own signature. You may be required to provide proof of your authority to act on behalf of the DreamAhead account.
- Only sign if you are in the presence of an authorized officer providing the Medallion Signature Guarantee.

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the **Program Details Booklet**.

Signature of Account Owner/Custodian/Authorized Representative of Entity

Signature Guarantor

Title

Name of Institution

Date (mm/dd/yyyy)

Have the Authorized Officer stamp here

Appendix – DreamAhead Investment Portfolio Options

For descriptions and details about all of these portfolio options, please go online to www.DreamAhead.wa.gov or see the **Program Details Booklet** for important information including descriptions, details, and risks about the investment options before making a decision.

Static Portfolios

Code	Portfolio Name
WACCP	Cash Preservation Portfolio
WACIN	Income Portfolio
WACCG	Income & Growth Portfolio
WACBA	Balanced Portfolio
WACMG	Conservative Growth Portfolio
WACGR	Moderate Growth Portfolio
WACAG	Growth Portfolio

Year of Enrollment

Conservative

Code	Portfolio Name
WAC38	Year of Enrollment 2038 - Conservative
WAC36	Year of Enrollment 2036 - Conservative
WAC34	Year of Enrollment 2034 - Conservative
WAC32	Year of Enrollment 2032 - Conservative
WAC30	Year of Enrollment 2030 - Conservative
WAC28	Year of Enrollment 2028 - Conservative
WAC26	Year of Enrollment 2026 - Conservative
WAC24	Year of Enrollment 2024 - Conservative
WAC22	Year of Enrollment 2022 - Conservative
WACEC	College Enrolled Conservative

Moderate

Code	Portfolio Name
WAM38	Year of Enrollment 2038 - Moderate
WAM36	Year of Enrollment 2036 - Moderate
WAM34	Year of Enrollment 2034 - Moderate
WAM32	Year of Enrollment 2032 - Moderate
WAM30	Year of Enrollment 2030 - Moderate
WAM28	Year of Enrollment 2028 - Moderate
WAM26	Year of Enrollment 2026 - Moderate
WAM24	Year of Enrollment 2024 - Moderate
WAM22	Year of Enrollment 2022 - Moderate
WACEM	College Enrolled Moderate

Growth

Code	Portfolio Name
WAA38	Year of Enrollment 2038 - Growth
WAA36	Year of Enrollment 2036 - Growth
WAA34	Year of Enrollment 2034 - Growth
WAA32	Year of Enrollment 2032 - Growth
WAA30	Year of Enrollment 2030 - Growth
WAA28	Year of Enrollment 2028 - Growth
WAA26	Year of Enrollment 2026 - Growth
WAA24	Year of Enrollment 2024 - Growth
WAA22	Year of Enrollment 2022 - Growth
WACEA	College Enrolled Growth